

PATENT COOPERATION TREATY

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

Rec'd PCT/IPTO 26 JAN 2005  
10/525410

To:  
TERESA J. SCHULTZ  
ALCON RESEARCH, LTD.  
MAIL CODE, Q-148  
6201 SOUTH FREEWAY  
FORT WORTH, TX 76134-2099

**PCT**  
NOTIFICATION OF TRANSMITTAL OF  
INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT

(PCT Rule 71.1)

Date of Mailing  
(day/month/year)

**30 JUL 2003**

Applicant's or agent's file reference

2345 WO F

**IMPORTANT NOTIFICATION**

International application No.

PCT/US02/39316

International filing date (day/month/year)

09 December 2002 (09.12.2002)

Priority date (day/month/year)

20 December 2001 (20.12.2001)

Applicant

ALCON, INC.

1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.
4. **REMINDER**

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices)(Article 39(1))(see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/US  
Mail Stop PCT, Attn: IPEA/US  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
Facsimile No. (703)305-3230

Authorized officer

Laura L. Stockton, Ph.D.

Telephone No. 703/308-1235

10/15/02 10

## PCT REQUEST

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0	For receiving Office use only International Application No.	<b>PCT/US 02/39316</b>
0-2	International Filing Date	<b>09 DEC 2002 (09.12.02)</b>
0-3	Name of receiving Office and "PCT International Application"	<b>PCT INTERNATIONAL APPLICATION RO/US</b>
0-4	Form - PCT/RO/101 PCT Request Prepared using	<b>PCT-EASY Version 2.92 (updated 01.10.2002)</b>
0-5	<b>Petition</b>  The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty	
0-6	<b>Receiving Office (specified by the applicant)</b>	<b>United States Patent and Trademark Office (USPTO) (RO/US)</b>
0-7	<b>Applicant's or agent's file reference</b>	<b>2345 WO F</b>
I	<b>Title of invention</b>	<b>NOVEL BENZODIFURANIMIDAZOLINE AND BENZOFURANIMIDAZOLINE DERIVATIVES AND THEIR USE FOR THE TREATMENT OF GLAUCOMA</b>
II	<b>Applicant</b>  II-1 This person is: II-2 Applicant for II-4 Name II-5 Address:	<b>applicant only all designated States except US ALCON, INC. P. O. Box 62 Bösch 69 CH-6331 Hünenberg Switzerland</b>
II-6	State of nationality	CH
II-7	State of residence	CH
II-8	Telephone No.	<b>41 41 785 8888</b>
II-9	Facsimile No.	<b>41 41 785 8887</b>
III-1	<b>Applicant and/or inventor</b>  III-1-1 This person is: III-1-2 Applicant for III-1-4 Name (LAST, First) III-1-5 Address:	<b>applicant and inventor US only FENG, Zixia 4204 Hideaway Drive Arlington, TX 76017 United States of America</b>
III-1-6	State of nationality	US
III-1-7	State of residence	US

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III-2	<b>Applicant and/or inventor</b>	
III-2-1	This person is:	
III-2-2	Applicant for	
III-2-4	Name (LAST, First)	
III-2-5	Address:	
III-2-6	State of nationality	
III-2-7	State of residence	
IV-1	<b>Agent or common representative; or address for correspondence</b> The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:	
IV-1-1	Name (LAST, First)	
IV-1-2	Address:	
IV-1-3	Telephone No.	
IV-1-4	Facsimile No.	
IV-1-5	e-mail	
IV-1-5	Agent's registration No.	
IV-2	<b>Additional agent(s)</b>	
IV-2-1	Name(s)	
V	<b>Designation of States</b>	
V-1	Regional Patent (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)	
V-2	National Patent (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)	

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V-5	<b>Precautionary Designation Statement</b>  In addition to the designations made under items V-1, V-2 and V-3, the applicant also makes under Rule 4.9(b) all designations which would be permitted under the PCT except any designation(s) of the State(s) indicated under item V-6 below. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit.	
V-6	<b>Exclusion(s) from precautionary designations</b>	<b>NONE</b>
VI-1	<b>Priority claim of earlier national application</b>	
VI-1-1	Filing date	<b>20 December 2001 (20.12.2001)</b>
VI-1-2	Number	<b>60/343,378</b>
VI-1-3	Country	<b>US</b>
VI-2	<b>Priority document request</b>  The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) identified above as item(s):	<b>VI-1</b>
VII-1	<b>International Searching Authority Chosen</b>	<b>United States Patent and Trademark Office (USPTO) (ISA/US)</b>
VIII	<b>Declarations</b>	<b>Number of declarations</b>
VIII-1	Declaration as to the identity of the inventor	-
VIII-2	Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent	<b>1</b>
VIII-3	Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application	-
VIII-4	Declaration of inventorship (only for the purposes of the designation of the United States of America)	-
VIII-5	Declaration as to non-prejudicial disclosures or exceptions to lack of novelty	-

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VIII-2-1	<p><b>Declaration: Entitlement to apply for and be granted a patent</b></p> <p>Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent (Rules 4.17(ii) and 51bis.1(a)(ii)), in a case where the declaration under Rule 4.17(iv) is not appropriate:</p> <p>Name:</p>	<p><b>in relation to this international application</b></p> <p><b>ALCON, INC.</b></p> <p><b>is entitled to apply for and be granted a patent by virtue of the following:</b></p>
VIII-2-1 (i)		<b>FENG, Zixia of 4204 Hideaway Drive Arlington, Texas, USA, is the inventor of the subject matter for which protection is sought by way of this international application</b>
VIII-2-1 (ii)		<b>Mark Hellberg of 2545 Glen Ridge Drive, Highland Village, Texas, USA, is the inventor of the subject matter for which protection is sought by way of this international application</b>
VIII-2-1 (ix)	This declaration is made for the purposes of:	<b>all designations except the designation of the United States of America</b>

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IX	Check list	number of sheets	electronic file(s) attached
IX-1	Request (including declaration sheets)	6	-
IX-2	Description	26	-
IX-3	Claims	5	-
IX-4	Abstract	1	EZABST00.TXT
IX-5	Drawings	0	-
IX-7	<b>TOTAL</b>	<b>38</b>	
	Accompanying items	paper document(s) attached	electronic file(s) attached
IX-8	Fee calculation sheet	✓	-
IX-10	Original general power of attorney	✓	-
IX-11	Copy of general power of attorney	reference no. <b>Alcon, Inc.</b>	-
IX-17	PCT-EASY diskette	-	Diskette
IX-18	Other (specified):  <b>Transmittal Letter and Return Card</b>		-
IX-19	Figure of the drawings which should accompany the abstract		
IX-20	Language of filing of the International application	<b>English</b>	
X-1	Signature of applicant, agent or common representative	<i>Teresa J. Schultz</i>	
X-1-1	Name	<b>ALCON, INC.</b>	
X-1-2	Name of signatory	<b>Teresa J. Schultz</b>	
X-1-3	Capacity	<b>Agent</b>	
X-2	Signature of applicant, agent or common representative	<i>Zixia Feng</i>	
X-2-1	Name (LAST, First)	<b>FENG, Zixia</b>	
X-3	Signature of applicant, agent or common representative	<i>Mark R. Hellberg</i>	
X-3-1	Name (LAST, First)	<b>HELLBERG, Mark, R.</b>	

## FOR RECEIVING OFFICE USE ONLY

10-1	Date of actual receipt of the purported International application	<b>DT17 Rec'd PCT/PTO 09 DEC 2002 (09.12.02)</b>
10-2	Drawings:	
10-2-1	Received	
10-2-2	Not received	
10-3	Corrected date of actual receipt due to later but timely received papers or drawings completing the purported International application	
10-4	Date of timely receipt of the required corrections under PCT Article 11(2)	
10-5	International Searching Authority	<b>ISA/US</b>

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10-6	Transmittal of search copy delayed until search fee is paid
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11-1	Date of receipt of the record copy by the International Bureau
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